

**DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency
FEMA GRANTS APPLICATION**

O.M.B. No. 1660-0025
Expires September 30, 2017

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 0.75 per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless it displays a valid OMB control number is displayed in the upper right corner of this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 1800 South Bell Street, Arlington VA 20598-3005. Paperwork Reduction Project (1660-0025) **NOTE: Do not send your completed form to this address.**

1. Type of Submission:* <input type="checkbox"/> Pre-application <input type="checkbox"/> Application <input type="checkbox"/> Changed/Correct Application	2. Type of Application:* <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s) <input type="text"/>
		* Other (Specify) <input type="text"/>
		<input type="text"/>

3. Date Received:* <input type="text"/>	4. Applicant Identifier: <input type="text"/>
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5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier:* <input type="text"/>
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State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
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8. Applicant Information:

a. Legal Name:

b. Employer/Taxpayer Identification Number (EIN/TIN):*	c. State Application Identifier:*
<input type="text"/>	<input type="text"/>

d. Address:

Street 1:

Street 2:

City: County/Parish:

State: Province:

Country: Zip/Postal Code:

e. Organizational Unit: Department Name: Division:

f. Name and Contact Information of Person to be Contacted on Matters Involving this Application:

Prefix: First Name: Middle Name: Last Name:

Title: Organizational Affiliation:

Telephone Number: Fax Number: E-mail:

9. Type of Applicant:

Applicant 1: Select Applicant Type

Applicant 2: Select Applicant Type

Applicant 3: Select Applicant Type

Other (Specify):

10. Catalog of Federal Domestic Assistance (CFDA):

Number:

Title:

11. Funding Opportunity:*

Number:

Title:

12. Competition Identification:

Number:

Title:

13. Areas Affected by Projects (Cities, Counties, States, etc.) if more space needed please use continuation sheet:

14. Descriptive Title of Applicants Project:*

15. Congressional Districts of:*

Applicant:

Project:

16. Proposed Project:*

Start Date:

End Date:

17. Estimated Funding (\$):*

Federal:

Applicant:

State:

Other:

Local:

Program Income:

Total:

18. Is Application Subject to Review by State Under Executive Order (EO) 12372 Process?:*

a. This application was made available to the State under EO 12372 Process for Review on:

b. Program is Subject to EO 12372, but has not been selected by the State for review.

c. Program is not covered under EO 12372.

19. Is the Applicant Delinquent on Any Federal Debt? (If Yes, Provide an Explanation in Attachment):*

Yes No

20. By signing this application, I certify (1) to the statements contained in the list of certifications and (2) the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware any false, fictitious or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (US Code, Title 218, Section 1001)***

I Agree

Authorized Representative:

Prefix: First Name: Middle Name: Last Name:

Title: Organizational Affiliation:

Telephone Number: Fax Number: E-mail:

Signature of Authorized Representative: Date Signed:

Areas Affected by Projects (continuation sheet):

[Empty text area for project details]

Applicant Federal Debt Delinquency Explanation:

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Try to avoid extra spaces and carriage returns to maximize the availability of space.

[Empty text area for delinquency explanation]

INSTRUCTIONS

This form (including the continuation sheet) is required for use as a cover sheet for submission of pre-applications, applications and related information under discretionary programs. Some of the items are required and some are optional. Required items are identified with an asterisk on the form and are specified in the instructions below.

Items Number	Entry
1	<p>Type of Submission (Required): Select one type of submission:</p> <ul style="list-style-type: none"> • Pre-application • Application • Changed/Corrected Application – check if the submission is to change or correct a previously submitted application. Unless requested by the Agency, do not use this to submit changes after the closing date.
2	<p>Type of Application (Required): Select one type of application:</p> <ul style="list-style-type: none"> • New - an application being submitted for the first time. • Continuation - an extension for additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in the text box provided. <p style="margin-left: 20px;"> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (Specify) </p>
3	Date Received: Leave this field blank, the date will be assigned by the Agency.
4	Applicant Identifier: Enter the entity identifier assigned by FEMA.
5a	Federal Entity Identifier: Enter the number assigned to your organization by FEMA, if any.
5b	Federal Award Identifier: For new applications, leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal Award Identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with Agency instructions.
6	Date Received by State: Leave this field blank, this date will be assigned by the State (if applicable).
7	State Application Identifier: Leave this field blank, this identifier will be assigned by the State (if applicable).
8	Applicant Information: Enter the following:
a.	Legal Name (Required): Enter the legal name of the applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry (CCR). Information on registering with CCR may be obtained by visiting the Grants.gov website.
b.	Employer/Taxpayer Number (EIN/TIN (Required)): Enter the EIN/TIN as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444.
c.	Organization DUNS (Required): Enter the organizations DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website.
d.	Address: Enter the complete address as follows: Street Address (Line 1 Required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US)
e.	Organizational Unit: Enter the name of the primary organizational unit (and Department or Division, (if applicable) that will undertake the assistance activity (if applicable).
f.	Name and Contact Information of Person to be Contacted on Matters Involving this Application: Organizational affiliation (if affiliated with an organization other on: Enter the name (First and Last, than the application organization (Required)), Telephone Number (Required), Fax Number, and E-mail Address of the person to contact on matters related to this application (Required).

9.	<p>Type of Applicant (Required): Select up to three applicant type(s).</p> <table border="0"> <tr> <td>A. State Government</td> <td>B. County Government</td> <td>C. City or Township Government</td> </tr> <tr> <td>D. Special District Government</td> <td>E. Regional Organization</td> <td>F. US Territory or Possession</td> </tr> <tr> <td>G. Independent School District Government</td> <td>H. Public/State Controlled Institution of Higher Education</td> <td>I. Indian/Native American Tribal</td> </tr> <tr> <td>J. Indian/Native American Tribal Government (Other than Federally Recognized)</td> <td>K. Indian/Native American Tribally Designated Organization</td> <td>L. Public/Indian Housing Authority</td> </tr> <tr> <td>M. Non-profit with 501CS IRS Status</td> <td>N. Non-profit without 501CS IRS Status</td> <td>O. Private Institution of Higher Education</td> </tr> <tr> <td>P. Individual</td> <td>Q. For Profit Organization (Other than Small Business)</td> <td>R. Small Business</td> </tr> <tr> <td>S. Hispanic-serving Institution</td> <td>T. Historically Black Colleges and Universities (HBCUs)</td> <td>U. Tribally Controlled Colleges and Universities</td> </tr> <tr> <td>V. Alaska Native and Native Hawaiian Serving Institutions</td> <td>W. Non-Domestic (non-US) Entity</td> <td>X. Other (Specify)</td> </tr> </table>	A. State Government	B. County Government	C. City or Township Government	D. Special District Government	E. Regional Organization	F. US Territory or Possession	G. Independent School District Government	H. Public/State Controlled Institution of Higher Education	I. Indian/Native American Tribal	J. Indian/Native American Tribal Government (Other than Federally Recognized)	K. Indian/Native American Tribally Designated Organization	L. Public/Indian Housing Authority	M. Non-profit with 501CS IRS Status	N. Non-profit without 501CS IRS Status	O. Private Institution of Higher Education	P. Individual	Q. For Profit Organization (Other than Small Business)	R. Small Business	S. Hispanic-serving Institution	T. Historically Black Colleges and Universities (HBCUs)	U. Tribally Controlled Colleges and Universities	V. Alaska Native and Native Hawaiian Serving Institutions	W. Non-Domestic (non-US) Entity	X. Other (Specify)
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10.	<p>Catalog of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance Number and Title of the Program under which assistance is requested, as found in the program announcement (if applicable).</p>																								
11.	<p>Funding Opportunity Number/Title (Required): Enter the Funding Opportunity Number and Title of the opportunity under which assistance is requested, as found in the program announcement.</p>																								
12.	<p>Competition Identification Number/Title: Enter the Competition Identification Number and Title of the competition under which assistance is requested (if applicable).</p>																								
13.	<p>Areas Affected by Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.). Use the continuation sheet to enter additional areas (if needed).</p>																								
14.	<p>Descriptive Title of Applicant's Project (Required): Enter a brief descriptive title of the project. If appropriate, attach a map showing the project location (e.g., construction or real property projects). For pre-applications, attach a summary description of the project.</p>																								
15.	<p>Congressional Districts of (Required): Enter the applicant's Congressional District, and enter the District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation - 3 characters District Number (e.g., CA-005 for California 5th District). If all Congressional Districts in a State are affected, enter "All" for the District Number (e.g., MD-All for all of the Congressional Districts in Maryland). If nationwide (i.e. all Districts within All States are affected), enter US-All. If the program or project is outside the US, enter 00-000.</p>																								
16.	<p>Proposed Projected Start and End Dates (Required): Enter the proposed start and end date of the project.</p>																								
17.	<p>Estimated Funding (Required): Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.</p>																								
18.	<p>Is the Application Subject to Review by the State Under Executive Order (EO) 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal EO 12372 to determine whether the application is subject to the State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State.</p>																								
19.	<p>Is the Applicant Delinquent on Any Federal Debit (Required)? Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debit include: delinquent audit disallowances, loans and taxes. If yes, please include an explanation on the continuation sheet.</p>																								
20.	<p>Authorized Representative (Required): To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and Last (Required)), Title (Required), Telephone number (Required), Fax number, and E-mail Address of the person authorized to sign for the applicant (Required). A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. – Does FEMA require this authorization be submitted as part of the application?</p>																								

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency
ASSURANCES-NONCONSTRUCTION PROGRAMS

O.M.B NO. 1660-0025
Expires September 30, 2017

Paperwork Burden Disclosure Notice

Public reporting burden for this data collection is estimated to average 1.7 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting this form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless a valid OMB control number is displayed on this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW, Washington, DC 20472 Paperwork Reduction Project (1660-0025). **NOTE: Do not send your completed form to this address.**

NOTE:

Certain of these assurances may not be applicable to your project or program. If you have any questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Section 4727-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IV of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Section 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Sections 523 and 527 of the Public Health Service Act of 1912, (42 U.S.C. 290-dd-3 and 290-ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Acts of 1968 (42 U.S.C. Section 3601 et. seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provision in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. 7. Will comply, or has already complied, with the requirements of Title II and III of the Uniformed Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interest in real property acquired for project purposes regardless of Federal participation in purchase.
8. Will comply with provisions of Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employees whose principle employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Sections 276a to 276a-7) the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable with flood insurance purchase requirements of Section 102a of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. Sections 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176 (c) of the Clear Air Act of 1955, as amended (42 U.S.C. Section et seq.); (g) protection underground sources of drinking water under Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the wild and Scenic Rivers Act of 1968 (16 U.S.C. Sections 1271 et seq.) related to protecting components of the national wild and scenic rivers systems.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-et seq.).

ASSURANCES-NONCONSTRUCTION PROGRAMS Cont.

O.M.B NO. 1660-0025
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14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 9-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Sections 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
19. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency
ASSURANCES-CONSTRUCTION PROGRAM

O.M.B NO. 1660-0025
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NOTE

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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (*including funds sufficient to pay the non-Federal Share of project cost*) to ensure proper planning, management, and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the States, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a paper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or state.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with Intergovernmental Personnel Act of 1970 (42 U.S.C. Sections 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's standards for a Merit System of Personnel Administration (5 C.F.R. 900-subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Sections 4801-et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Sections 794) which prohibits discrimination on the basis of; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-61-7) which prohibits discrimination on the basis of age; (e) the Drug Abuse Office Treatment Act of 1972 (P.L. 93-255), as amended, relating to non-discrimination on the bases of abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the bases of alcohol abuse or alcoholism; (g) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. Sections et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) and other non-discrimination provisions in the specific statutes(s) under which application for Federal assistance is being made, and (j) the requirements on any other non-discrimination Statutes(s) which may apply to the application.
11. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and Federally assisted programs. These requirements apply to all interest in real property
12. Will comply with the provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Sections 27a to 276a-7); the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Section 874); the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333) regarding labor standards for Federally assisted construction subagreements.

14. Will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance in the total cost of insurable construction and acquisition is \$ 10,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (E.O.) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management programs developed under the Coastal Zone Management Act of 1973 (16 U.S.C. Sections 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementations Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. Section 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); (H) Protection of Endangered species Act of 1973, as amended, (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Sections 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and preservation of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 46s-1 et seq.).

18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.

19. Will comply with all applicable requirements of all other Federal laws, Executive Orders, regulations and policies governing this program.

20. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

21. It will obtain approval by the appropriate Federal agencies of the final working drawings and specifications before the project is advertised or placed on the market for bidding; that it will construct the project, or cause it to be constructed, to final completion in accordance with the application and approved plans and specifications; that it will submit to the appropriate Federal agency for prior approval changes that alter the cost of the project, use of space, or functional layout; that it will not enter into a construction contract(s) for the project or undertake other activities until the conditions of the construction grant program(s) have been met.

22. It will operate and maintain the facility in accordance with the minimum standards as may be required or prescribed by the applicable Federal, State, and local agencies for the maintenance and operation of such facilities.

23. It will require the facility to be designed to comply with the "American Standard Specifications for Making Buildings and Facilities Accessible to, and Usable by, the Physically Handicapped," Number A117-1961, as modified (41CFR 101-17.703). The applicant will be responsible for conducting inspections to ensure compliance with these specifications by the contractor.

24. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

25. In making subgrants with nonprofit institutions under this Comprehensive Cooperative Agreement, it agrees that such grants will be subject to OMB Circular A-122, "Cost Principles for Non-profit Organization" including but not limited to, the "Lobbying Revision" published in vol 49, Federal Register, pages 18260 through 18277 (April 27, 1984).

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION
AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE
REQUIREMENTS**

O.M.B NO. 1660-0025
Expires September 30, 2017

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 1.7 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing, and submitting the form. This collection of information is required to obtain or retain benefits. You are not required to submit to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW, Washington, DC 20472-3100, and Paperwork Reduction Project (1660-0025). **NOTE: Do not send your completed form to this address.**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying" and 28 CFR Part 17, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Federal Emergency Management Agency (FEMA) determines to award the transaction, grant, or cooperative agreement.

1. LOBBYING

As required by section 1352, Title 31 of the U.S. Code, and implemented at 44 CFR Part 18, for persons entering into a grant or cooperating agreement over \$ 100,000, as defined at 44 CFR Part 18, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement.

(b) If any other funds than Federal appropriated funds have been paid or will be paid to any other person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or an employee of Congress, or employee of a member of Congress in connection with this Federal Grant or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

Standard Form-LLL "Disclosure of Lobbying Activities" attached
(This form must be attached to certification if non-appropriated funds are to be used to influence activities.)

**2. DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS (DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 44 CFR Part 67, for prospective participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17.510-A.

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of a or had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or perform a public a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause of default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

**3. DRUG-FREE WORKPLACE (GRANTEE OTHER THAN
INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 44 CFR Part 17, Subpart F, for grantees, as defined at 44 CFR Part 17.615 and 17.620-

A. The applicant certifies that it will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug free awareness program to inform employees about-

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will-

(1) Abide by the term of the statement; and

(2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such convictions;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position, title, to the applicable FEMA awarding office, i.e., regional office or FEMA office.

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is convicted-

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation act of 1973, as amended; or

(2) Requiring such an employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, City, County, State, Zip code)

There are workplaces on file that are not identified

Sections 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a state wide certification.